



City of Charlotte
Statement of Economic Interest

FILER'S NAME (FIRST, MIDDLE, LAST)			
First Name	Middle Name	Last Name	Suffix
MAILING ADDRESS, CITY, STATE, ZIP			
Address	City	State	Zip
HOME ADDRESS, CITY, STATE, ZIP			
<input type="checkbox"/> Same as Mailing Address			
Address	City	State	Zip
CURRENT EMPLOYER	JOB TITLE		
NATURE OR TYPE OF BUSINESS			
DAYTIME PHONE NUMBER	ALTERNATE PHONE NUMBER		
E-MAIL ADDRESS			



City of Charlotte
Statement of Economic Interest

I. \$10,000 PLUS DISCLOSURES

If you, your spouse, or members of your immediate family¹ have assets or liabilities with a market value of at least \$10,000 in the following categories, please provide the requested information as of December 31st of the preceding year unless another time period is specified in the question.

- ▶ Do not list the actual value of those assets or liabilities.
- ▶ Do not list assets or liabilities held in a blind trust² established by or for the benefit of you or an immediate family member.

1. Do you, your spouse, or members of your immediate family have an ownership interest in any real estate located in the City of Charlotte or the City's extraterritorial planning jurisdiction with a market value of \$10,000 or more?

▶ **This may include your home/residence. Do not list actual value.**

☐ Yes ☐ No

Owner of Real Estate	% Ownership Interest	Location by City

2. Do you, your spouse, or members of your immediate family lease or rent to or from the City real estate with a market value of \$10,000 or more? **Do not list actual value.**

☐ Yes ☐ No

Name of Lessor	Name of Lessee (Renter)	Location by City

3. Within the preceding two years, have you, your spouse, or members of your immediate family sold to or bought from the City personal property with a market value of \$10,000 or more? **Do not list actual value.**

☐ Yes ☐ No

Name of Purchaser	Name of Seller	Type of Property

¹**Immediate family** includes your spouse (unless legally separated), minor children, and members of your extended family (your and your spouse's adult children, grandchildren, parents, grandparents, and siblings, and the spouses of each of those person) that reside in your household.

²**Blind trust** is a trust that meets all of the following criteria: (a) the owner of the trust's assets has no knowledge of the trust's holdings and sources of income, (b) the individual or entity managing the trust's assets ("the trustee") is not a member of the official's extended family and is not associated with or employed by the official or his or her immediate family, and (c) the trustee has sole discretion to manage the trust's assets.



City of Charlotte
Statement of Economic Interest

4. Do you, your spouse, or members of your immediate family currently lease or rent to or from the City personal property with a market value of \$10,000 or more? **Do not list actual value.**

☐ Yes ☐ No

Name of Lessor	Name of Lessee (Renter)	Type of Property

5(a). Do you, your spouse, or members of your immediate family own interests (generally stock) valued at \$10,000 or more in a publicly owned company that you know or have reason to know: (1) is doing or is seeking to do business of any kind with the City; or (2) has financial interests that may be substantially and materially affected, in a manner distinguishable from the public generally, by the performance or nonperformance of your official duties? **Do not list actual value.**

☐ Yes ☐ No

► Do not list ownership interests in a widely held investment fund (including mutual funds, regulated investment companies, or pension or deferred compensation plans) if (i) the fund is publicly traded or its assets are widely diversified and (ii) neither you nor an immediate family member are able to control the assets held in the mutual fund, investment company, or pension or deferred compensation plan.

Owner of Interest	Full Name of Company (Do not use a ticker symbol)

5(b). Do you, your spouse, or members of your immediate family hold stock options valued at \$10,000 or more in a company or business that you know or have reason to know: (1) is doing or is seeking to do business of any kind with the City; or (2) has financial interests that may be substantially and materially affected, in a manner distinguishable from the public generally, by the performance or nonperformance of your official duties? **Do not list actual value.**

☐ Yes ☐ No

Owner of Stock Option	Full Name of Company (Do not use a ticker symbol)



City of Charlotte
Statement of Economic Interest

6(a). Do you, your spouse, or members of your immediate family have financial interests valued at \$10,000 or more in a non-publicly owned company or business entity (including interests in sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations) that you know or have reason to know: (1) is doing or is seeking to do business of any kind with the City; or (2) has financial interests that may be substantially and materially affected, in a manner distinguishable from the public generally, by the performance or nonperformance of your official duties? **Do not list actual value.**

☐ Yes ☐ No - If "No", proceed to question 7.

Owner of Interest

Name of Business Entity

6(b). For each of those non-publicly owned companies or business entities identified in question 6(a) (the "primary company"), please list the names of *any other companies* in which the primary company owns securities or equity interests valued at over \$10,000, *if known*. **Do not list actual value.**

**Non-Publicly Owned Company
(the Primary Company)**

**Other Companies in which the Primary Company
Owns Security or Equity Interests**

☐ None or Not Known

6(c). If you know that any company or business entity listed in 6(a) or (b) above has any material business dealings or business contracts *with the City*, or is *regulated by the City*, provide a brief description of that business activity.

Name of Company or Business Entity

Description of Business Activity with the City

☐ None or Not Known

II. OTHER DISCLOSURES

7(a). During the preceding calendar year, were you, your spouse or members of your immediate family a director, officer, governing board member, employee, or independent contractor of a nonprofit corporation or organization operating in the City primarily for religious, charitable, scientific, literary, public health and safety, or educational purposes that you know or have reason to know: (1) is doing or is seeking to do business of any kind with the City; or (2) has financial interests that may be substantially and materially affected, in a manner distinguishable from the public generally, by the performance or nonperformance of your official duties?

☐ Yes ☐ No - If "No", proceed to question 8.

► Do not list organizations of which you are a mere member or subscriber.

Name of Person

His/Her Position

**Name of Nonprofit
Corporation or Organization**

**Nature of Business or
Purpose of Organization**



City of Charlotte
Statement of Economic Interest

7(b). If the listed nonprofit corporations or organizations do business with the City or receive City funds, please provide a brief description of the nature of that business, if known, or with which due diligence could reasonably be known.			
Name of Nonprofit Corporation or Organization		Describe City Business or City Funding	
<input type="checkbox"/> None or Not Known			
8. Have you ever been convicted of a felony for which you have not received either (i) a pardon of innocence or (ii) an order of expungement regarding that conviction?			
<input type="checkbox"/> Yes <input type="checkbox"/> No			
Offense	Date of Conviction	County of Conviction	State of Conviction
9(a). List the name of each business with which you are associated where you or a member of your immediate family is an employee, director, officer, partner, proprietor, or member or manager and that you know or have reason to know: (1) is doing or is seeking to do business of any kind with the City; or (2) has financial interests that may be substantially and materially affected, in a manner distinguishable from the public generally, by the performance or nonperformance of your official duties.			
Name of Person	Relationship to Filer	Name of Company	Role of Person
<input type="checkbox"/> No Business Associations			
9(b). If you know that any company or business entity listed in 9(a) above has any material business dealings or business contracts with the City, or is regulated by the City, provide a brief description of that business activity.			
Name of Company or Business Entity		Description of Business Activity with the State	
<input type="checkbox"/> Not applicable (No entities listed on #9a) <input type="checkbox"/> No relationship / Not known			



City of Charlotte
Statement of Economic Interest

AFFIRMATION

I affirm that the information provided in this Statement of Economic Interest and any attachments hereto are true, complete, and accurate to the best of my knowledge and belief.

I also certify that I have not transferred, and will not transfer, any asset, interest, or property for the purpose of concealing it from disclosure while retaining an equitable interest.

I understand that my Statement of Economic Interest and any attachments or supplements thereto are public record.

☐ **I Agree**

Printed Name

Signature

Date

Submit SIGNED, ORIGINAL documents only.

Do not fax or email this form.